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Architecture-Driven Digital Image Correlation Technique (ADDICT) for the measurement of sub-cellular kinematic fields in speckle-free cellular materials

Ali Rouwane^{a,b,*}, Robin Bouclier^{a,b}, Jean-Charles Passieux^a, Jean-Noël Périé^a

^aInstitut Clément Ader (ICA), Université de Toulouse, INSA-ISAE-Mines Albi-UPS-CNRS, Toulouse,

France

^bInstitut de Mathématiques de Toulouse (IMT), Université de Toulouse, UPS-UT1-UT2-INSA-CNRS, Toulouse, France

9 Abstract

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Measuring displacement and strain fields at low observable scales of complex microstructures still remains a challenge in experimental mechanics often because of the combination of low definition images with poor texture at this scale. This is the case for cellular materials, for which complex local phenomena can occur. The aim of this paper is to design and validate numerically and experimentally a Digital Image Correlation (DIC) technique for the measurement of local displacement fields of samples with complex cellular geometries (*i.e.* samples presenting multiple random holes). It consists of a DIC method assisted with a physically sound weak regularization using an elastic B-spline image-based model. This technique introduces a separation of scales above which DIC is dominant and below which it is assisted with image-based modeling. Several *in-silico* experimentations are performed in order to finely analyze the influence of the introduced regularization lengths for different input mechanical behaviors (elastic, elasto-plastic and geometrically non-linear) and in comparison with true error quantification. We show that the method can estimate complex local displacement and strain fields with speckle-free low definition images, even in non-linear regimes such as local buckling or plasticity. Finally, an experimental validation is proposed in 2D-DIC to allow for the comparison of the proposed method on low resolution speckle-free images with a classic DIC on speckled high resolution images.

¹⁰ Keywords: Elastic Image registration, Finite element DIC, Free-form deformation models,

Email addresses: ali.rouwane@univ-tlse3.fr (Ali Rouwane),

^{*}Corresponding author

robin.bouclier@math.univ-toulouse.fr (Robin Bouclier), passieux@insa-toulouse.fr (Jean-Charles Passieux), jean-noel.perie@iut-tlse3.fr (Jean-Noël Périé)

12 **1. Introduction**

The development of volume imaging opens up attractive horizons in the field of the 13 mechanical characterization of materials, and in particular of architectured materials [1]. X-14 ray tomography, in particular, currently makes it possible to reveal the internal architecture 15 of certain materials at a micrometric scale [2], or even information on the microstructure of 16 metallic materials [3, 4]. The reconstructed volumetric images are therefore commonly used 17 to build so-called Digital Image-Based (DIB) models [5, 6, 7, 8, 9]. Furthermore, by using in 18 situ testing machines [10], it is possible to assess the effects of loading on internal deformation 19 at various scales [11] or damage [2]. In this context, digital volume correlation (DVC) is now 20 commonly used to obtain a 3D displacement field from a sequence of absorption contrast 21 tomographic images [12]. It is then tempting to take advantage of such measurements to 22 validate the DIB models, or even to identify the parameters of the model used to describe the 23 behaviour of the constituent material(s). However, such comparisons are usually conducted 24 at low spatial resolution and in the case of an elastic behaviour [13]. One of the challenges 25 in the field of experimental mechanics is indeed to perform such DVC measurements at the 26 micro architecture scale [14, 15]. The reason for this is related to the origin of the texture 27 that can be used for image correlation. The typical materials of interest in this study are 28 single-phase materials with complex micro-scale architecture, such as cellular materials. This 29 may include metallic/polymeric foams, biological tissues (trabecular bones), cell woods, or 30 additive manufacturing materials such as lattice structures, to name a few. As an example, 31 an image of a Rohacell-51 polymetacrylimid closed cell foam microstructure obtained using 32 X-ray micro-tomography is given in Fig. 1. 33

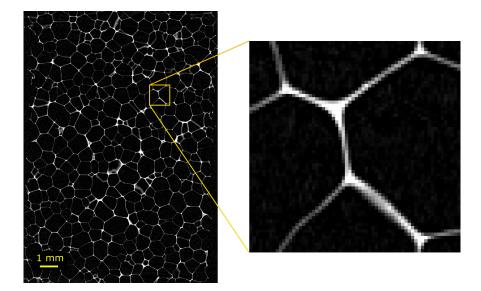


Figure 1: Image of a Rohacell-51 polymetacrylimid closed cell foam microstructure obtained using X-ray micro-tomography. The voxel size is equal to $6\mu m$ and the cell-struts are defined by only 2 to 3 pixels along the thickness direction.

In 2D analysis (DIC or Stereo-DIC), this is possible by artificially adding a high frequency 34 speckle pattern to the observed surface. Numerous techniques exist that allow textures to 35 be deposited over a wide range of scales. However, in volume analysis (DVC), depending 36 on the imaging modality, the variations in grey-levels that generate a DIC suitable texture 37 are associated with the micro architecture and/or the heterogeneity of the constituents. For 38 instance in Fig. 1, the acquisition parameters and the size of the sample were such that the 39 resolution of 6 microns per voxel allows for only 3 voxels on average in the strut thickness. 40 We can see that the struts are not textured at all. Anyway, with such a resolution, one would 41 not even be able to see a sub-cellular speckle, even if it existed. With such microstructures, 42 we are confronted with a paradox: the scale of the constituents is merged with that of the 43 texture, whereas the texture should be defined at a lower scale. This problem has led DVC 44 users to consider elements (global DVC) or subsets (local DVC) of very large size compared 45 to the micro-architecture [12, 16, 17, 18, 19, 20, 15]. The strain fields obtained with such 46 choices are therefore associated with a meso (or macro) scale which is homogenized with 47 respect to the material architecture scale. The lack of texture at a smaller scale precludes 48

the consideration of smaller elements or subsets, and therefore to access to more resolved measurements. Of course, there have been attempts to deposit texture in volume, especially in manufactured materials using Barium Sulfate [21] or copper particles [22] as contrast agent for instance. But, apart from the fact that it is not easy to guarantee a homogeneous and isotropic texture and that it cannot be generalised to all materials (especially biological ones), this invasive technique may have effects on the behaviour of the material we want to characterize.

This technical barrier which prevents performing strain measurement under the cellular 56 scale represents today's most challenging issue in DVC. For the first time, we propose a 57 method that breaks this barrier and reduces the resolution despite the absence of texture. In 58 order to be able to quantitatively compare the proposed approach (on low resolution images 59 without texture) with a classical method (on high resolution images with painted speckle 60 pattern), we focus in this article on 2D applications. Generalization to 3D, with expected 61 difficulties both in terms of implementation and numerical complexity, will be addressed at 62 a later stage. 63

The method relies on immersed B-spline image-based mechanical modeling for the auto-64 matic and accurate description of the local kinematic of the imaged sample without using the 65 classical meshing procedures [23]. Then we make use of a tuned equilibrium gap method for 66 the weak regularization of the DIC problem [24, 25]. The 2D numerical and experimental 67 tests are performed on a sample that mimics a slice of a cellular foam as the one of Fig. 1. 68 The novelty of our contribution is a measurement method at the scale of the architecture 69 (using the highest possible spatial measurement resolution) and basing it only on the tex-70 ture of the sample. As it is based on the use of a regularization model representative of the 71 micro architecture of the material, we called our method Architecture-Driven Digital Image 72 Correlation Technique (ADDICT). 73

As the mechanical response of cellular patterns can be complex and local, the validation of the DIC method must be performed using general mechanical displacement fields that include transformations that are not only reduced to translations and rotations. For this reason, the

suggested DIC validation method consists in generating synthetic images of cellular materials 77 from finite element (FE) simulations and comparing the measured displacement fields to the 78 FE reference displacement field. Although it is possible to consider non-linear regularization 79 models [26], the model used here for weak regularization is elastic. The efficiency of the 80 method to estimate local strain fields of samples undergoing possibly non-linear mechanical 81 behaviours is analyzed considering 3 regimes (elasticity, elasto-plasticity and geometric non-82 linearity) for the generation of the synthetic images. The Tikhonov-like terms used for the 83 regularization of the DIC problem introduces two parameters that are trade-offs between 84 data fidelity and regularity. A detailed investigation of this trade-off is performed based on 85 a L-curve study [27]. Additionally, the influence of the regularization parameters on the 86 true measurement error is performed. Finally, an experimental validation is performed by 87 comparing the results of proposed method on low resolution speckle-free images with those 88 of a classic DIC on speckled high resolution images. 89

The present paper is organized as follows: after this introduction, section 2 reviews the 90 foundations of our approach by recalling the DIC problem and its weak regularization. Af-91 terwards, we present the automatic image-based model that allows to obtain the geometric 92 and mechanical descriptions of the cell-struts. Section 3 concerns numerical results that are 93 based on DIC virtual tests using an artificial two-dimensional cellular material. In this sec-94 tion, we firstly compare visually the results of our approach with those of the classical subset 95 method and secondly investigate the influence of the regularization parameters on the mea-96 sured solution for the three different deformation regimes listed previously. Then, in section 97 4, the proposed DIC measurement method is assessed through a real tensile test. Finally 98 section 5 concludes on this work by summarizing our main contributions and motivating 99 future research based on the proposed methodology. 100

¹⁰¹ 2. ADDICT: assisting DIC with mechanical image-based modeling

The proposed ADDICT draws on research dealing with FE-DIC [28, 29, 30, 31, 32], weak mechanical regularization [24, 16, 33, 34], and immersed image-based modeling [8, 35, 9, 23]. This section introduces the main ingredients of the method and accounts for the choices performed from the current technologies of the literature. More precisely, we start by outlining the foundations, which are related to an enhanced DIC scheme with weak elastic regularization, and then briefly describe the constructed specimen specific image-based model that is the key component of our methodology.

¹⁰⁹ 2.1. Foundations: mechanically regularized global DIC

110 2.1.1. Global DIC

DIC consists in finding the unknown kinematic transformation that conserves the grey-111 level values of the images taken at different loading steps of a material sample. Within 112 this work, we recall that we restrict ourselves to 2D-DIC but mention that extension to 113 DVC [12, 17, 18] is straightforward from a methodological point of view. More precisely, 114 given two images showing two configurations of a material sample (here let us denote f115 the image of the material at rest and q the image after load), DIC undertakes to solve the 116 grey-level conservation equation [36]. Mathematically, it reads: find the 2D displacement 117 field u(x, y) such that: 118

$$f(x,y) = g\left((x,y) + u(x,y)\right), \quad \forall (x,y) \in \Omega,$$
(1)

where $\Omega \subset \mathbb{R}^2$ is the ROI, and x and y define the coordinates of any point in the ROI. In practice, the grey-level conservation assumption cannot be guaranteed exactly due to multiple factors (noise, grey-level quantization, sub-pixel interpolation errors...). Therefore, problem (1) is rather solved in a least-squares sense for which a distance of dissimilarity is minimized:

$$u^* = \operatorname*{arg\,min}_{u \in V} S(u) = \operatorname*{arg\,min}_{u \in V} \frac{1}{2} \int_{\Omega} \left(f(x, y) - g((x, y) + u(x, y)) \right)^2 dx dy.$$
(2)

In order to do so, images f and g need to be somehow interpolated. In this work, a continuous B-spline representation [37] will be used, as specified in section 2.2. The unknown displacement field is searched for in V which is a space spanned by a set of basis functions:

$$u(x,y) = \mathbf{N}(x,y)\mathbf{u},\tag{3}$$

where $\mathbf{N}(x, y)$ is the considered shape functions matrix and $\mathbf{u} \in \mathbb{R}^{ndof}$ is the total unknown 130 degrees of freedom (dof) vector. Depending on the choice made for \mathbf{N} , the DIC methods are 131 divided into two main families: *subset* methods using mostly low-order piecewise polynomials 132 that are discontinuous across the subsets [38, 39, 40, 41], and global methods mainly based 133 on mechanically sound finite elements [28, 29, 42, 43]. Global DIC is considered in this work 134 since this is the starting point to regularize DIC using a mechanical knowledge of the solution. 135 In this context, the basis functions defining V can be chosen, for example, as the standard 136 nodal Lagrange polynomial functions [29, 44, 32], or more regular spline functions in the 137 spirit of free-form deformation models [45, 46, 47] or isogeometric analysis [30, 31, 48, 23]. 138 In any way, these Galerkin approximations introduce a spatial regularization which is related 139 to the size and polynomial degree of the considered finite elements. 140

Since problem (2) simply consists in a non-linear least-squares problem, it is solved with a Gauss-Newton type algorithm [49]. Given an initial displacement guess $\mathbf{u}^{(0)}$, the solution $\mathbf{u}^{(k)}$ at iteration k is updated as follows:

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$$\mathbf{u}^{(k+1)} = \mathbf{u}^{(k)} + \mathbf{d}^{(k)} \quad \text{with} \quad \mathbf{H}_S(\mathbf{u}^{(k)})\mathbf{d}^{(k)} = -\nabla S(\mathbf{u}^{(k)}), \tag{4}$$

where $\nabla S(\mathbf{u}^{(k)})$ is the gradient of S and $\mathbf{H}_{S}(\mathbf{u}^{(k)})$ is an approximation using only first-order 145 partial derivatives of the Hessian matrix of S. These operators are constructed from image 146 gradients. In the context of the studied images, we perform as usually in the experimental 147 mechanics community; that is, we actually use a modified Gauss-Newton algorithm which 148 consists in approximating the terms $\nabla g((x, y) + u(x, y))$ in the Hessian matrix and the right-149 hand side by $\nabla f(x,y)$ [49, 50]. This is usually sufficient to capture mechanical kinematic 150 transformations and has the strong benefit to lead to a constant operator \mathbf{H}_{S} , which can 151 thus be inverted once and for all before running the optimization. Further details regarding 152 the implementation of the method can be found in, e.g., [25, 51, 33]. 153

154 2.1.2. Weak mechanical regularization

As mentioned above, discretization (3) introduces a spatial regularization that can be characterized as a strong regularization in the sense that it is directly related to the size of

the approximation subspace. Roughly speaking, to be able to solve the inverse problem (2), 157 the subset or finite element size must be chosen so that the amount of grey-level data 158 available in a subset or finite element is richer than the corresponding elementary kinematic 159 basis. In the conventionally used subset-DIC framework, the usual rule in this respect is 160 to set a subset size that contains at least 3 speckle dots [52, 53, 54]. For our images of 161 speckle-free cellular type materials, this would lead to a subset size as depicted in Fig. 2 162 (see also section 3.4 where further details regarding this image are provided). Obviously, the 163 resulting approximation space appears too coarse in view of estimating the kinematic fields 164 at the sub-cellular scale. A finite element mesh as fine as the one of Fig. 2 would be necessary 165 instead but, in this case, the strong regularization would not be sufficient anymore, thereby 166 leading to a singular matrix \mathbf{H}_{S} in (4). 167

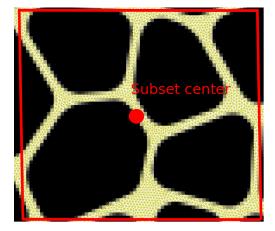


Figure 2: Size of subset (red rectangle) to properly regularize the DIC problem coming from images of specklefree cellular type materials. The resulting approximation space appears too coarse in view of estimating the kinematic fields at the sub-cellular scale. A finite element mesh as fine as the one depicted in this figure would be necessary instead, thus leading to a severely ill-posed inverse problem.

An alternative approach is to resort to Tikhonov regularization techniques [55]. These are weak regularization schemes that consist in adding to the initial DIC objective function (2) a specific term, based on differential operators, to smooth the solution fields [56, 57, 20, 58]. In particular, it may be proposed within the FE-DIC technology to penalize the L_2 -norm of the gradient of each component of the measured field. This technique is often referred

to as the Tikhonov regularization technique in the field [59, 60, 19, 48]. In this work, we 173 will indifferently denote this regularization by the Laplacian-based technique in the sense 174 that it uses the vector Laplacian operator L [19, 23], see Eq. (5). More interestingly, using 175 finite elements in DIC also offers the opportunity to design mechanically sound Tikhonov-176 like methods by penalizing the distance between the estimated displacement field and its 177 projection onto the space of expected mechanical solutions [24, 25, 16, 17, 61, 33, 34]). 178 This variant will be classified as the mechanically regularized DIC in this paper. In this 179 work, we use these two regularization schemes together (as in, e.g., [16, 23]): in the part of 180 the ROI where no relevant physical information is available, we perform a Laplacian-based 181 regularization, and in the remaining domain where the discrete mechanical equilibrium can 182 be safely formulated, a mechanically regularized DIC based on an elastic behavior of the 183 specimen is performed. 184

From a numerical point of view, the Laplacian-based regularization consists in augmenting (2) as follows:

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$$\mathbf{u}^* = \operatorname*{arg\,min}_{\mathbf{u} \in \mathbb{R}^{ndof}} \left(S(\mathbf{u}) + \frac{\lambda}{2} ||\mathbf{L}\mathbf{u}||_2^2 \right),\tag{5}$$

where λ is the weighting parameter. For the mechanically regularized DIC counterpart, equation (2) is rather complemented by the L_2 -norm of the internal forces produced by an elastic model (in the spirit of the equilibrium gap method [62]):

$$\mathbf{u}^* = \operatorname*{arg\,min}_{\mathbf{u} \in \mathbb{R}^{ndof}} \left(S(\mathbf{u}) + \frac{\lambda_K}{2} || \mathbf{D}_K \mathbf{K}(E=1,\nu) \mathbf{u} ||_2^2 \right).$$
(6)

The weighting parameter is this time denoted λ_K . **K** is the stiffness matrix of an isotropic and 192 homogeneous elastic model defined at the sub-cellular scale of the material. The associated 193 Young's modulus E is fixed to 1 as K is proportional to E (the influence of E is thus taken 194 into account through λ_K). \mathbf{D}_K is a boolean dof selection operator that selects the dof located 195 in the bulk and on the free edges. Such a dof selection appears necessary because we do not 196 know well the Dirichlet and non-zero Neumann boundary conditions (in practice, we may 197 barely access to a resultant in one direction). Finally, we combine both schemes (5) and (6)198 to regularize each dof of the unknown measured field, which leads to the following enhanced 199

200 DIC problem:

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$$\mathbf{u}^* = \operatorname*{arg\,min}_{\mathbf{u}\in\mathbb{R}^{ndof}} \left(S(\mathbf{u}) + \frac{\lambda_K}{2} ||\mathbf{D}_K \mathbf{K}(E=1,\nu)\mathbf{u}||_2^2 + \frac{\lambda_L}{2} ||\mathbf{D}_L \mathbf{L}\mathbf{u}||_2^2 \right),\tag{7}$$

where operator \mathbf{D}_L selects the Dirichlet and non-zero Neumann edges of the ROI, and λ_L is the weighting parameter for the Laplacian-based part of the regularization.

Remark 1. Let us note here that the Dirichlet and non-zero Neumann boundary regularization is only used in order to stabilize the measurement at the boundaries. It uses the Laplacian operator so the only physics prescribed on these boundaries is related to a diffusion problem. For more mechanically sound regularizations on theses boundaries, we refer the reader to other boundary stabilization strategies used in the case of the equilibrium gap method [63, 34].

Finally, it has to be underlined that the (homogeneous and isotropic) elastic behavior 210 at the sub-cellular scale is not prescribed in a strong way in (7). It is only used as a low 211 pass filter to alleviate oscillatory effects [16, 17]. From a global point of view, we exploit the 212 information coming from the movement of cell boundaries (with $S(\mathbf{u})$ in (7)) and weakly 213 prescribe a locally elastic behavior to softly regularize DIC in the textureless microstructure, 214 which makes sense in continuum mechanics, even for measuring inelastic fields as will be 215 demonstrated in sections 3 and 4. In some sense, such a procedure enables to mitigate 216 the tradeoff between the FE interpolation error (sometimes referred to as model error in 217 DIC) and so-called ultimate random error (that is related to the ill-posedness of the inverse 218 problem) [53, 51]. Overall, when using this regularization, three *a priori* input parameters 219 $(\lambda_K, \lambda_L, \nu)$ influence the DIC measurement quality. In theory, a correct estimation of ν must 220 be provided which remains a problem for this class of methods. However it can be updated 221 [25]. The problem thus focus on the fine tuning of (λ_K, λ_L) , which will be addressed in 222 section 3. 223

224 2.1.3. Functional normalization and physical regularization lengths

As the different optimization residuals are not normalized in (7), typical values of λ_L and λ_K range from 10¹ to 10⁹ and their sensitivity to the measured field is not constant across this interval. Besides, the link between λ_L and λ_K and physical lengths is not obvious. As a remedy, a mechanical interpretation of these regularization schemes has been introduced in [16, 17]. To start with, a normalization of the residual can be considered using a reference shear wave displacement v, here chosen in the form:

$$v_x(x,y) = \cos\left(\frac{2\pi}{T}y\right), \quad v_y(x,y) = 0,$$
(8)

where T is the wave-length. The normalization of the functional (7) consists in dividing each optimization term in (7) by its evaluation at the displacement v. Denoting by \mathbf{v} the dof vector associated to the finite element discretization of v, the descent direction using this normalization is therefore given by the following linear system:

$$\left(\mathbf{H}_{S} + \lambda_{K} \frac{\mathbf{v}^{T} \mathbf{H}_{S} \mathbf{v}}{||\mathbf{D}_{K} \mathbf{K} \mathbf{v}||_{2}^{2}} \mathbf{K}^{T} \mathbf{D}_{K} \mathbf{K} + \lambda_{L} \frac{\mathbf{v}^{T} \mathbf{H}_{S} \mathbf{v}}{||\mathbf{D}_{L} \mathbf{L} \mathbf{v}||_{2}^{2}} \mathbf{L}^{T} \mathbf{D}_{L} \mathbf{L}\right) \mathbf{d}^{(k)} =$$
(9)

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$$-\nabla S(\mathbf{u}^{(k)}) - \left(\lambda_K \frac{\mathbf{v}^T \mathbf{H}_S \mathbf{v}}{||\mathbf{D}_K \mathbf{K} \mathbf{v}||_2^2} \mathbf{K}^T \mathbf{D}_K \mathbf{K} + \lambda_L \frac{\mathbf{v}^T \mathbf{H} \mathbf{v}}{||\mathbf{D}_L \mathbf{L} \mathbf{v}||_2^2} \mathbf{L}^T \mathbf{D}_L \mathbf{L}\right) \mathbf{u}^{(k)}.$$

Let us note at this stage that the left-hand side operator still remains constant and only 237 the right-hand side is updated during the optimization iterations. Using spectral analysis, it 238 can be shown that the linear operators L and K used for regularization can be interpreted 239 as low-pass filters (see, again, [16, 17]). More precisely, regularizing using the L_2 -norm of 240 the second-order differential operators L and K can be seen as a fourth-order low-pass filter 241 acting on the measured displacements on both the bulk and boundary regions. As a result, 242 the regularization weights λ_L and λ_K can be related to cut-off characteristic lengths denoted 243 l_K and l_L which verify: 244

$$\lambda_K = \left(\frac{l_K}{T}\right)^4, \quad \lambda_L = \left(\frac{l_L}{T}\right)^4. \tag{10}$$

As λ_K and λ_L are dimensionless, the characteristic lengths l_K and l_L have the same unit as the period T of the shear wave which is in pixels. For a proper study and a mechanical interpretation of the implemented methodology, the regularization weights will be tuned in this paper by changing the values of the cut-off wave-lengths l_K and l_L (see section 3 in particular). The value of parameter T has no real influence on the results: it is just requested to take it large enough so that the wave v can be accurately described by the considered finite element mesh (at least T should be equal to 4 element lengths).

253 2.2. Specimen specific regularization using an immersed B-spline image-based model

The main feature of our solver (9) is to make use of a stiffness matrix accounting for the 254 cellular architecture to drive DIC within the struts and/or walls of the material. Building 255 such a stiffness matrix requires to investigate the field of image-based modeling which aims 256 at performing mechanical simulation directly on grey-scale data. In this work, we propose to 257 make use of the advanced immersed B-spline image-based model built in [23] which has the 258 interest of being fully automatic, higher accurate and with a proper description of strain fields 259 compared to more standard voxel-based approaches [7, 64], and fairly-priced in the sense that 260 it provides the best possible accuracy (bounded by pixelation errors) while ensuring minimal 261 complexity. 262

263 2.2.1. Construction of the automatic and fairly-priced image-based model

We now briefly review the construction of the considered image-based model. Only the fundamentals are given here. For further details, the interested reader is referred to [23] and the works cited hereafter. The model is based on three main ingredients: (i) a levelset characterization of the boundary [9], (ii) a higher-order spline fictitious domain analysis approach, often referred to as the isogeometric Finite Cell Method (FCM) [35] in the field, and (iii) a fine tuning of the related discretization parameters (quadrature rule, element size, polynomial degree) to make it fairly-priced.

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More precisely, Fig. 3 summarizes the different steps of the construction of the model.

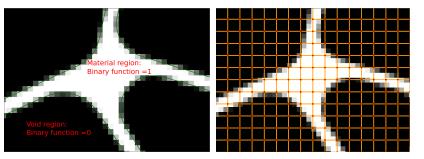
• First, a level-set characterization of the material's boundary is performed by constructing a binary function that is equal to 1 if the evaluated point is in the region of interest and 0 in void areas (see Fig. 3a). In order to do so, we apply the simple and robust strategy of [9] that consists in building a smooth B-spline representation of the image and obtaining a regular contour of the boundary by taking an iso-value of the representation.

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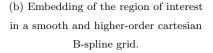
In a second step, the region of interest is embedded in a structured smooth and higher-order B-spline grid for the discretization of the measured displacement field (see Fig. 3b). The matrix N in (3) contains therefore B-spline basis functions whose supports are dissociated from the actual geometry. This is the key point of fictitious domain techniques that allow for great accuracy and flexibility in image-based modeling. Resorting to smooth B-spline functions is also interesting to properly describe derivative fields such as strains.

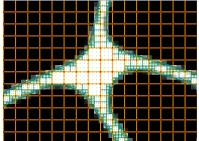
• In a third step, it is requested to integrate over a restriction of the B-spline grid in 286 order to compute a stiffness matrix related to the physical domain As the level-set 287 characterization is a signed distance, the integration is performed easily by means of 288 a quad-tree decomposition which is widely used in FCM (see, e.g., [65, 8, 35, 9]). 289 Each element of the B-spline grid is divided into four integration elements if it cuts 290 the boundary (see Fig. 3c). The integration elements that do not cut the geometric 291 boundary are integrated with a full Gauss quadrature. This decomposition is repeated 292 until a predefined maximum level is reached. In addition, in order to improve the 293 geometric description, the last cut integration elements are subdivided into integration 294 triangles equipped with an exact quadrature rule (see Fig. 3c again). 295

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(a) Level-set characterization of the material's boundary.





(c) Quad-tree scheme with a closure linear tessellation for defining the domain of integration corresponding to the cell struts (zoomed window w.r.t. Figs. 3a and 3b).

Figure 3: Main steps to build the specimen-specific, immersed B-spline image-based model.

The three fictitious domain parameters are adjusted following [23]: the maximum level of quad-tree decomposition is taken so that the minimal size of an integration element is about the same as the pixel size, and smooth cubic B-spline elements of size approximately equal to the cell strut thickness are employed. For illustration purpose, the considered cellular-like specimen is shown in Fig. 4 along with the chosen B-spline mesh that is composed of $n_x = 87$ and $n_y = 64$ elements in the x and y direction, respectively. The corresponding approximate element size is equal to 2.5 pixels.

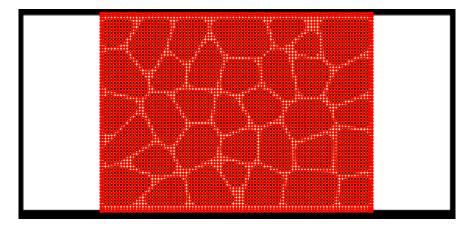


Figure 4: Cubic B-spline grid taken to discretize the measured displacement field for the considered 2D cellular-like specimen.

³⁰³ 2.2.2. Conditioning concerns and final fictitious domain DIC approach

In the end, we make use of the B-spline grid and constructed fictitious domain integration 304 rule not only to compute K but also \mathbf{H}_S and ∇S (and L) in (7). In addition, we interpolate 305 the images by using the smooth B-spline representation constructed at the first step of the 306 image-based model to define the level-set function, which is interesting from a noise and 307 gradient computation point of view [66, 9, 67]. The remaining issue to address is that these 308 operators are in general severely ill-conditioned due to the fact that some basis functions 309 can have their support that do not or slightly intersect the physical domain. As a remedy, 310 we remove the dof corresponding the basis function N_i such that [23]: 311

$$s(i) = \frac{\int_{Supp(N_i)\cap\Omega} N_i(x,y)dxdy}{\int_{Supp(N_i)} N_i(x,y)dxdy} \le \varepsilon, \quad (s(i) \in [0,1]), \tag{11}$$

where $Supp(N_i)$ stands for the support of the considered basis function. In this work, we fix $\varepsilon = 10^{-4}$ in order to obtain a good compromise between the conditioning of the left-hand side operator and the accuracy of the solution. In Fig. 5, we show the retained control points after applying (11) with the considered geometry and mesh. Overall, the strategy (7) can be seen as an optimized version, using advanced image-based model techniques, of the mechanically regularized DIC scheme (see, *e.g.*, [24, 16, 17]).

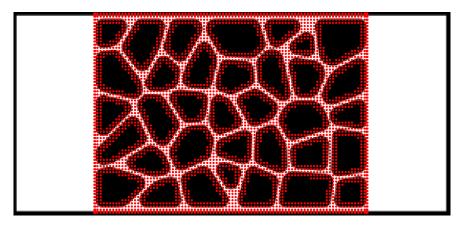


Figure 5: Retained B-spline control points to describe the mechanically regularized DIC solution for the considered 2D cellular-like specimen.

319 3. Analysis of synthetic images based on virtual tests

In this section, the performance of the proposed speckle-free ADDICT is assessed by 320 analyzing a set of three synthetic test-cases. Namely, given a fine FE mesh fitting the 321 architecture of the cellular material, wisely chosen constitutive properties, and boundary 322 conditions, a displacement field \mathbf{u}^{fem} is computed from a standard FE analysis, as detailed 323 in section 3.1. Then, synthetic images of the reference and of the deformed configurations are 324 generated, as described in section 3.2. The interest of such virtual tests lies in the fact that 325 the measured fields \mathbf{u}^{meas} can be compared with the ground truth \mathbf{u}^{fem} using appropriate 326 measurement errors, see section 3.3. Fig. 6 summarises the process of constructing and 327 analyzing images for our virtual experiment. In addition to performing a virtual elastic 328 test, we will also investigate the ability of our method to estimate local kinematic fields in 329 non-linear regimes (in particular, plasticity and/or geometric non-linearities). 330

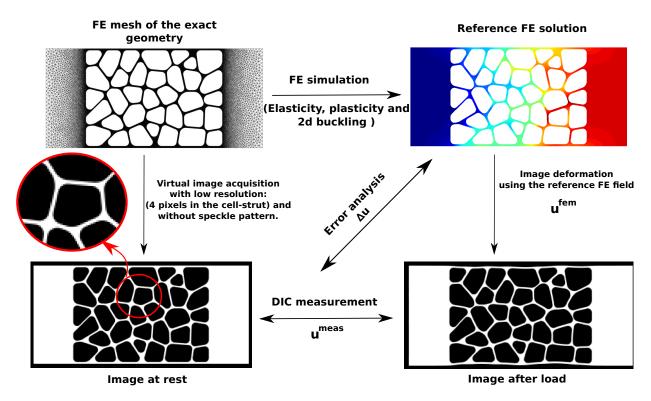


Figure 6: Synthetic image generation and procedure to assess the performance of the DIC measurements.

We proceed as follows for the discussion of the results: in section 3.4, it is shown how challenging it is to estimate sub-cellular kinematic fields with classical subset DIC approaches from such images. The latter are then analyzed with the proposed method. Finally, for each of the three test cases, the influence of the regularization cut-off wave-length is analyzed in section 3.5 based on the so-called L-curves of the optimization problems (5) and (7) and their relation to the true measurement errors.

337 3.1. Construction of the three virtual tests

For the construction of the reference displacement field $\mathbf{u^{fem}}$, we considered the mechanical problem depicted in Fig. 7. The left boundary of the sample was fixed $(u_x = u_y = 0)$ and an homogeneous displacement was prescribed at the right boundary $(u_y = 0 \text{ and } u_x = u_0)$. The top and bottom boundaries were assumed traction-free $(\sigma.n = 0)$. The finite element mesh was chosen fine enough to correctly represent the local behavior of the cell struts: approximately six triangular finite elements in a cell strut were considered.

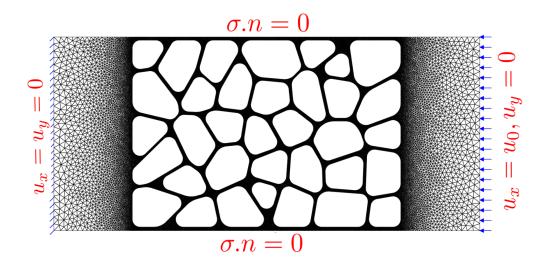


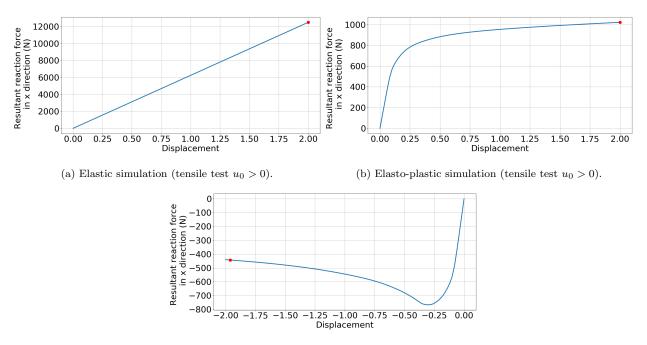
Figure 7: Definition of the virtual experiment: FE mesh of the exact geometric object displayed with the boundary conditions. The sample corners are defined by $x_{min} = 0$ mm, $x_{max} = 110$ mm, $y_{min} = 0$ mm, $y_{max} = 50$ mm.

In this study, three different mechanical regimes were investigated: (i) linear elasticity and (ii) non-linear elasto-plastic constitutive relation under infinitesimal strain theory in tension $(u_0 > 0)$, and (iii) non-linear elasto-plastic constitutive relation under finite strain theory in compression $(u_0 < 0)$ including post-buckling. For each regime, a Young's modulus of E = 187 GPa and a Poisson coefficient $\nu = 0.3$ were chosen for the sample material. The material's non-linear behavior was based on the piecewise linear hardening law given in Table.1.

Plastic strain	0%	0.2%	1%	10%	
Yield stress	230 MPa	295 MPa	340 MPa	425 MPa	

Table 1: Elasto-plastic law used for the reference FE simulation.

Figs. 8a-8b-8c show the global force-displacement mechanical response for the three test cases (i), (ii) and (iii), respectively. The red dots correspond to the mechanical states chosen to generate the digital images g in the deformed configuration.



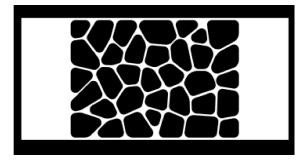
(c) Elasto-plastic simulation with non-linear geometric analysis (compression test $u_0 < 0$).

Figure 8: Evolution of the resultant of reaction forces at the right end of the specimen with respect to the prescribed displacement u_0 in x direction: (a) linear elasticity test (i), (b) elasto-plastic tension test (ii) and (c) geometric non-linear elasto-plastic compression test (iii). The red dots represent the mechanical states used to generate the deformed images.

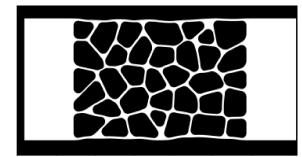
354 3.2. Generation of the synthetic images

The virtual DIC testing consists in generating a virtual image of the FE model of Fig. 7 355 in the load-free configuration f, and another one after loading g from the above computed 356 displacements fields $\mathbf{u}^{\mathbf{fem}}$. In order to mimic the generation of grey-scale images from the 357 geometry of the sample, a first high-resolution binary image is generated using a cartesian 358 grid of pixels over the rectangle with vertices (x_{min}, x_{max}) and (y_{min}, y_{max}) . Afterwards, 359 a pixel grey-level value is assigned proportional to its surface fraction to meet the desired 360 low resolution (about 4 pixels in the strut thickness). The same treatment is performed in 361 order to generate the image of the sample in the reference and deformed configurations. This 362 simple rendering method was sufficient in our 2D-DIC analysis whereas other more complex 363 physically sound rendering models could have also been considered, (see, for instance, [68, 364 51, 69] in the context of Stereo-DIC). 365

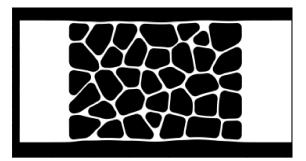
Let us recall that the images are chosen for the loading states corresponding to the red bullets in Fig. 8. For the non-linear regimes (see, in particular, Figs. 8b and 8c), this ensures that the behaviour has clearly entered a non-linear regime. The corresponding images f and g are shown in Fig. 9 for each of the three mechanical problems.



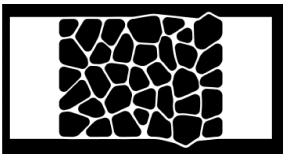
(a) Image of the reference configuration f (load-free).



(c) Image of the deformed configuration for the elasto-plastic model subjected to tension corresponding to Fig. 8b.



(b) Image of the deformed configuration for the elastic model subjected to tension corresponding to Fig. 8a.



(d) Image of the deformed configuration for the geometrically non-linear elasto-plastic model subjected to compression corresponding to Fig. 8c.

Figure 9: Example of pairs of DIC test images based on the same sample but with different mechanical models. Image dynamic is equal to 255 in the whole image area and equal to 127 in the cell area only.

370 3.3. Error quantification

As indicated in the overview of the synthetic experimental setup in Fig. 6, the com-371 putation of the measurement errors was performed by comparison with the reference FE 372 displacement $\mathbf{u^{fem}}$ used for generating the synthetic images. Since the reference FE mesh 373 is consistent with the cell geometry, we choose to compute the error between the measured 374 $\mathbf{u}_{\mathbf{x}}^{\mathbf{meas}}, \mathbf{u}_{\mathbf{y}}^{\mathbf{meas}}$ and simulated $\mathbf{u}_{\mathbf{x}}^{\mathbf{fem}}, \mathbf{u}_{\mathbf{y}}^{\mathbf{fem}}$ displacements at the n_p Gauss points defined on all 375 triangular elements of the simulation mesh. In Fig. 10, a zoomed window is provided to see 376 the FE mesh and corresponding integration points located in the image domain. In order to 377 quantify the measurement errors, we consider the measurement uncertainty denoted \mathcal{U} . For 378

 $_{379}$ instance, for the *x*-component of the displacement it is defined as follows:

$$\mathcal{U}(u_x) = \sqrt{\frac{1}{n_p - 1} \sum_{i=1}^{n_p} \left(\mathbf{u}_{\mathbf{x}}^{\mathbf{fem}}_{i} - \mathbf{u}_{\mathbf{x}}^{\mathbf{meas}}_{i} \right)^2}, \qquad (12)$$

where \mathbf{u}_{xi} stands for the evaluation at the i^{th} Gauss point. The uncertainty \mathcal{U} will be used for characterizing the measurement error for u_x and u_y with respect to ground truth.

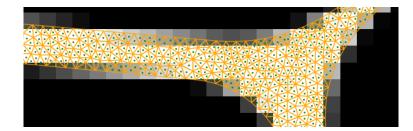


Figure 10: Zoom on an image area. The finite element mesh is superimposed on the image. Green points are the Gauss integration points of the reference triangular FE mesh used for the computation of the error.

383 3.4. A first analysis vs Subset based DIC

As mentioned in section 2 and illustrated in Fig. 2, the usual practice in subset based DIC/DVC is to set a subset size according to the characteristic length of the image pattern. Based on the auto-correlation function of the image, we can first estimate the microstructure's characteristic length.

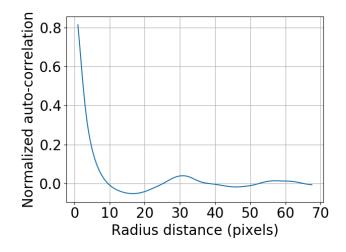


Figure 11: Radially averaged normalized auto-correlation function.

More precisely, by performing the analysis of the evolution of the radially averaged nor-388 malized auto-correlation, we can estimate an averaged speckle size in the image and the 389 periods existing thanks to the auto-correlation peaks. The 1/2 or 1/e pre-image of the auto-390 correlation can characterize the thickness of a cell strut (here around 4 pixels) [53]. The 391 secondary peak at around 30 pixels characterizes the mean cell size. Based on the usual 392 practice in subset DIC [52, 53, 54], it is stated that the subset should contain a minimum of 393 three DIC pattern features, which leads, in our case, to choose very large subset sizes inca-394 pable of reconstructing the local kinematic associated to strut bending (see also discussion 395 related to Fig. 2). 396

As a concrete example, we consider test case (i) where the underlying model is linear 397 elastic. The subset-method was applied with affine subset shape functions. In the case of 398 using the image of Fig. 9a, the subset DIC tool used herein (VIC-2D) suggests an automatic 399 subset size based on the auto-correlation function. A subset size of 63 pixels is suggested in 400 this case (approximately 3 pores per subset as shown by the orange square in Fig. 12), which 401 is consistent with the usual practice. The step size was set to 1. The measurement points 402 are marked by the red dots in Fig. 12. It should be noted that such a large subset size only 403 allows measurement in an area relatively far from the edges. 404

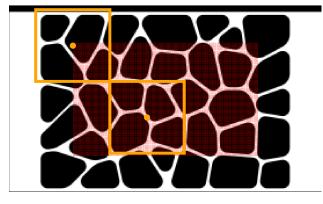
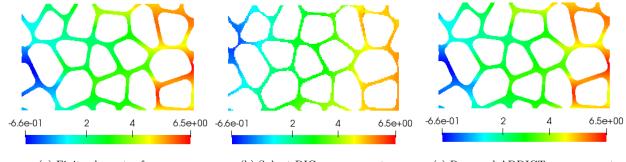


Figure 12: Necessary discretization for the standard subset DIC. The measurement points are marked by the red dots. A large part of the boundary subsets are automatically removed in order to avoid high uncertainty measurements in theses zones. The orange square depicts the subset size.

⁴⁰⁵ A visual comparison of the reference (left) and measured (center) displacements and

strains is given in Figs. 13 and 14, respectively. As we are interested by the measurement 406 within the cell struts only, we show the post-processed results in the cell regions using a 407 a posteriori binary segmentation. In Fig. 13, it can be seen that the displacement field 408 estimated with the subset method is consistent with the reference field, at least at the 409 macroscopic scale. But when analyzing the field measured by the subset approach in more 410 detail, by looking in particular at the strain field in Fig. 14, we notice that the strain provided 411 by the subset method is completely inconsistent and very far from the reference strain field. 412 More precisely, the obtained strain fields are homogeneous at the scale of the cell-struts and 413 the local bending observed in Fig. 14a is not identified. This shows that large subsets only 414 allow to identify macroscopic (or homogenized) displacements and strain fields. 415

This problem is due to the difficult compromise in choosing the subset size. Indeed, this parameter alone is used to set both the regularization length and the measurement resolution. This motivates the use of a richer kinematic (small resolution) associated to an alternative regularization technique to better capture the sub-cellular displacement field gradients.



(a) Finite element reference.

(b) Subset DIC measurement.

(c) Proposed ADDICT measurement.

Figure 13: Horizontal component u_x of the displacement field in the ROI of the subset method (in pixel units).

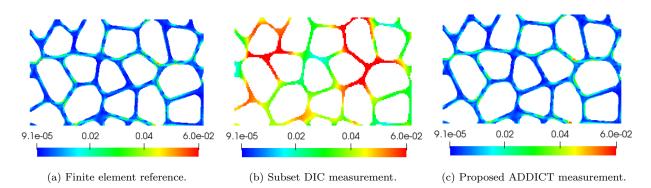


Figure 14: Plot of the equivalent strain field $\varepsilon_{vm} = \sqrt{\varepsilon_{xx}^2 + \varepsilon_{yy}^2 + 2\varepsilon_{xy}^2}$.

This same set of images is now analyzed with the proposed ADDICT. An image-based 420 model, using a B-spline fictitious domain technology, is constructed from the grey-scale 421 images, as described in section 2.2. This model is used to weakly regularize the FE-DIC 422 problem, as explained in section 2.1 (see, in particular, Eq. (7)). The corresponding measured 423 displacement and strain fields are presented in Figs. 13c and 14c. It can be observed that 424 the displacement field is much better resolved. It shows typical bending gradients which 425 are quite similar to the reference fields. This is a clear illustration of the interest of the FE 426 approach in DIC in its ability to use a mechanical model to improve DIC and to break the 427 aforementioned trade-off. 428

In the following section we will study the two main parameters of our method: (a) the choice of the regularization lengths l_L and l_K (see Eq. (10)), and (b) the relevance of the model (here linear elastic) used for the regularization operator with respect to the nature of the non-linearity of the measured behaviours.

3.5. Numerical investigation of the influence of the model and parameters used for the reg ularization

In this section, the influence of the regularization lengths l_L and l_K for different linear and non-linear mechanical regimes is investigated using L-curves. The L-curve study of regularized least-squares problems helps finding the optimal regularization parameter as the one corresponding to the highest curvature point in a log-log plot of the regularization term versus the data fidelity term [27]. For our mechanically regularized scheme (see

Eq. (7)), we thus consider on the horizontal axis the dimensionless data-fidelity term de-440 fined by $S(\mathbf{u})/(max(f) - min(f))$, and on the vertical axis the variation of the mechanical 441 equilibrium, *i.e.* such that $||\mathbf{D}_K \mathbf{K} \mathbf{u}^*||_2^2$. In order to investigate the filtering properties of 442 the equilibrium gap based regularization, the plots are performed for different values of the 443 characteristic lengths: l_L and l_K are respectively varied in [0, 40] pixels and [0, 200] pixels. 444 The L-curve corresponding to the less physically sound Tikhonov variant (5) is also given 445 for comparison purpose regarding the employed regularization model. In a next step, to ac-446 count for the relevance of the regularization parameters selected with the L-curve approach, a 447 measurement error study (w.r.t. ground truth) is carried out. Eventually, several deformed 448 configurations of the material sample are provided with different values of regularization 449 parameters to appreciate visually their influence on the results. 450

Linear elastic case. First, let us consider the L-curve when regularizing DIC with our approach (7) in case (i), *i.e.* where the synthetic images were generated with a linear elastic model (corresponding to Figs. 8a and 9b). The obtained plot is shown in Fig. 15. The left and right sides of this figure exactly correspond to the same plot, only the colour of the markers changes. On the left, the colour depends on the value of the edge regularization length l_L , and on the right on the bulk elastic regularization length l_K .

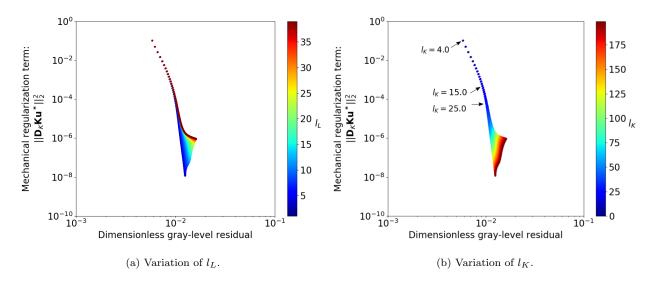


Figure 15: Elastic regularization versus data fidelity for ADDICT on an elastic problem.

The first thing that stands out is that the parameter l_L has very little influence on the L-457 curve. It only has an effect when the volume elastic regularization parameter l_K is very large 458 (see bottom zone in the figure), which corresponds to very strong regularization. In such 459 a situation, it can be seen as an integrated type DIC method [70] which gives good results 460 provided that (a) the imposed mechanical behaviour in the bulk is the right one (which is 461 the case on this test) and (b) the edge displacements are relevant. This is the reason why 462 edge regularization has an effect in this zone. Fig. 15a shows that l_L should be considered 463 very small (1 to 5 pixels) in order to get an accurate measurement. 464

Concerning the influence of the bulk regularization given by l_K , while increasing this regularization weight, the equilibrium term keeps decreasing without a significant increase of the grey-level residual (the curve somehow plunges down). This implies that the L-curve does not present a local convexity. The optimal regularization value would be theoretically infinity. This is the typical behaviour of a perfect (here elastic) regularization term. This can be observed since the synthetic example actually exhibits a full linear elastic behavior.

⁴⁷¹ Non-linear cases. The proposed ADDICT with elastic regularization is now applied to the ⁴⁷² images of test cases (ii) and (iii), *i.e.* with elasto-plastic constitutive relation without and ⁴⁷³ with geometric non-linearities, as shown in Figs. 8b-9c, and 8c-9d, respectively. On Fig. 16a, ⁴⁷⁴ the corresponding L-curves are presented for the three input models (elastic, elasto-plastic ⁴⁷⁵ and elasto-plastic with possible geometric non-linearities). Only the influence of l_K is con-⁴⁷⁶ sidered, l_L being fixed to its optimal value following previous discussion.

We can now observe three main regions in the L-curve (denoted R1, R2 and R3 in 477 Fig. 16a). On the region R1 (*i.e.*, $l_K < 25$), the weight is put more on the grey-level 478 conservation and the standard deviation is higher, the obtained solution is not accurate as 479 will be shown in Fig. 17. Conversely, on the region R3 (*i.e.*, $l_K > 30$), the weight is put more 480 on (elastic) regularity. In this case, the grey-level residual increases as the elastic regularity is 481 no longer valid for describing the actual mechanics (here plasticity without or with geometric 482 non-linearities). The choice of l_K must be a compromise between regularity and grey-level 483 conservation. The optimal value for the regularization length is at the point of maximum 484

⁴⁸⁵ curvature [27], i.e. between 25 and 30 pixels, which defined region R2.

Through this study, it can also be emphasized that the L-curve is proving to be an excellent indicator of the relevance of a model in the context of validation [70]. If the L-curve tends to plunges down as the regularization length increases, then the model is probably compatible with the observed mechanical field.

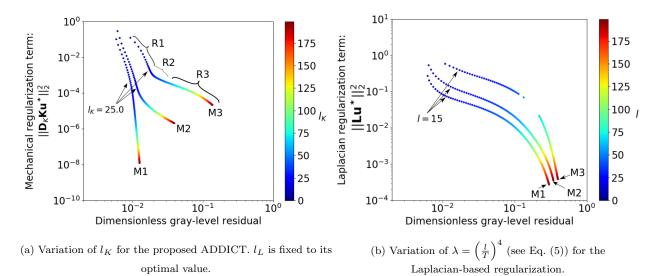


Figure 16: Influence of the regularization lengths for the three input models. M1: Elastic model (i), M2: Elasto-plastic model (ii), and M3: Geometrically non-linear elasto-plastic model (iii).

Comparison with a less physically sound regularization kernel. As mentioned above, the 490 choice of the model used for regularization is one of the two important parameters of the 491 approach. Here, the less physically sound Laplacian-based model of Eq. (5) was used to 492 regularize the same set of images. Note that operator L is built by integrating only on the 493 physical cell struts (*i.e.* avoiding the holes), which differs from the current practice in other 494 fields where such regularization operators are used in both strut and void parts [19, 20]. The 495 corresponding L-curves are given in Fig. 16b. Looking closely at the L-curves of Fig. 16a with 496 the different regularization operators, we can see that the L-curve is clearly more sensitive 497 to the increase of the regularization length when using Laplacian-type regularization as 498 compared to the elastic one. 499

Link between L-curve and error. In this section, the L-curves are compared to the true errors 500 in order to numerically validate the optimality of the regularization length associated to the 501 maximum curvature. In Fig. 17, the evolution of the measurement error is plotted as a 502 function of the regularization lengths. We recall that, to compute the measurement error 503 defined by (12), the displacement fields are computed on the Gauss-integration points that 504 belong to both the reference finite element geometry and the constructed geometry using 505 the level-set function. First, this figure provides numerical evidence that the optimal value 506 of the regularization calculated from the maximum curvature point also corresponds to the 507 minimum error. Second, this figure also provide numerical evidence that a weak elastic 508 regularization, even when it is not representative of the actual mechanics of the observed 509 specimen, is better than all the other less physical regularization techniques considered in 510 this study, either in a strong way based on polynomials (subset) or in a weak way based on 511 the gradient of the solution (Laplacian). 512

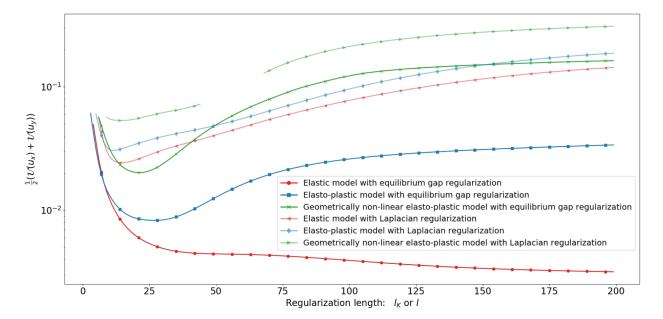


Figure 17: Influence of the regularization parameter on the mean displacement error $(\mathcal{U}(u_x) + \mathcal{U}(u_y))/2$.

⁵¹³ Overall, the interpretation that can be made of these results is that the term associated ⁵¹⁴ with the grey-level residuals ($S(\mathbf{u})$ in (7)) captures the low frequency part of the solution, ⁵¹⁵ here associated with characteristic lengths higher than the cell length (≈ 30 pixels), *i.e.* the meso scale. In other words, it helps computing the part of the displacement field that aligns the mesh to the edges of the struts. The local part of the displacements, *i.e.* inside the struts or at the micro-scale, which do not modify the grey-level conservation term, are driven by the regularization. It therefore seems consistent that the optimal regularization length is close to the characteristic cell size.

Deformed configurations with different values of regularization parameters. In order to visu-521 ally appreciate the above interpretation, we eventually show several deformed configurations 522 with different regularization weights. First, considering the elasto-plastic case (ii) (Figs. 523 8b-9c), we superpose the reference (red) and measured (green) cloud points for a very low 524 regularization (see Fig. 18a) and for an optimal regularization (see Fig. 18b). Following 525 previous discussion, the low regularization allows to satisfy more data fidelity (region R1) 526 and the optimal regularization corresponds to the inflexion point obtained from the results 527 of Fig. 16a (region R2)). When putting more weight on data-fidelity, Fig. 18a shows that 528 non-physical displacements are observed within the cell-struts as the green points move dif-529 ferently than the reference points. Conversely, when considering the optimal regularization 530 weight, the movement inside the cell struts is closer to their reference value, see Fig. 18b 53 where the red and green point clouds are superimposed. 532

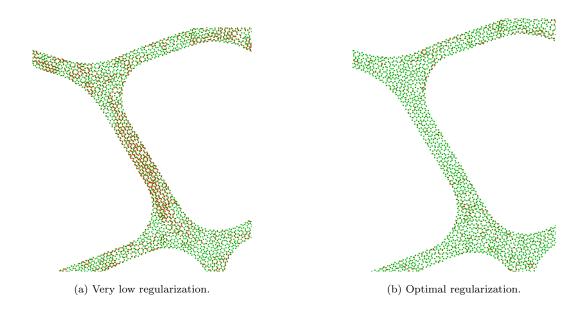
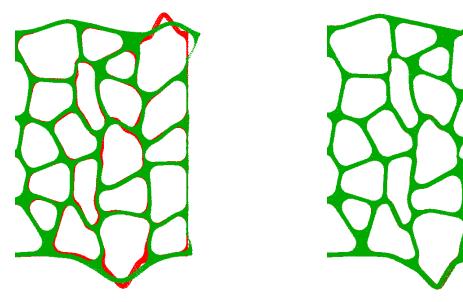


Figure 18: Superposition of the deformed point clouds using the reference finite element field (red point cloud) and the measured field using the equilibrium gap method (green point cloud). Figures corresponding to the elasto-plastic problem (ii).

Secondly, in the case of the geometrically non-linear elasto-plastic model (iii) (Figs.8c-9d), 533 when putting a very large weight on the mechanical term (region R3), the correlation fails 534 to correctly represent the geometric non-linearities (see Fig. 19a). In fact, we observe that 535 the regularization model forces the cell struts to bend in an elastic way whereas they should 536 exhibit a post-buckling behavior. When choosing the optimal weight l_K (region R2), the 537 buckling is correctly measured using the same elastic hypothesis for the regularization model, 538 see Fig. 19b. These examples show that even when the observed fields are the response of a 539 more complex behaviour (here geometrically non-linear with elasto-plasticity) than the model 540 used for regularization (here linear elastic), the displacement fields are correctly estimated. 541

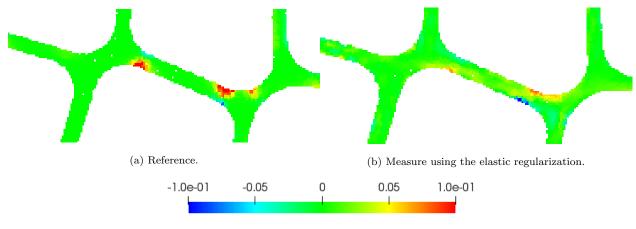


(a) Very high regularization.

(b) Optimal regularization.

Figure 19: Superposition of the deformed point clouds using the reference finite element field (red point cloud) and the measured field using the equilibrium gap method (green point cloud). Figures are corresponding to the geometrically non-linear elasto-plastic problem (iii). (The point clouds are amplified with amplification factor of 2).

Finally, Fig. 20 compares the local distribution of strains in the worst case (geometrically non-linear with elasto-plasticity). Even if the value of the local strain is not totally correct, it is much better than with the other regularization technique considered in this study, and it allows at least the location of high gradient areas.



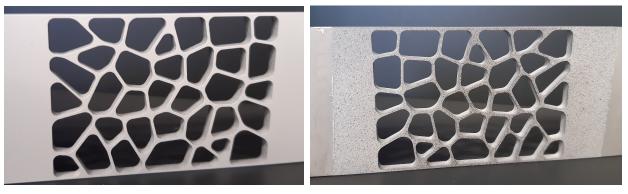
(c) ε_{xx} range values.

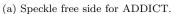
Figure 20: ε_{xx} strain.

⁵⁴⁶ 4. Application to a 2D experiment

We now propose to demonstrate the potential of our ADDICT in an experimental situa-547 tion where inelastic strains take place. To this end, we have chosen to perform a tensile test 548 on a macroscopic two-dimensional cellular like specimen and to compare the 2D kinematic 549 measurements provided by ADDICT using low-definition speckle-free images of the main 550 side with those obtained by a FE-DIC measurement based on high definition images of the 551 opposite speckled side, considered as the reference (see Fig. 21). A classic FE-DIC approach 552 is here preferred for the reference to obtain a dense continuous displacement everywhere in 553 the struts. 554

We first chose a suitable geometry, material and production method to build our model 555 material. The geometry adopted is identical to the one used in the previous section (see 556 Fig. 7). The total width of the specimen is 50 mm, and the minimal struts thickness is 557 approximately 0.5 mm. The sample was machined in a 4 mm thick 2024-T3 aluminum 558 sheet from the CAD file using a 5 axis CNC milling machine. This process was preferred to 559 waterjet and laser cutting in order to obtain the desired geometry while minimizing the heat 560 affected zone and avoiding the need to deburr the part. The minimum radii of the fillets 561 were therefore limited in the CAD by the radius of the cutting tool. 562



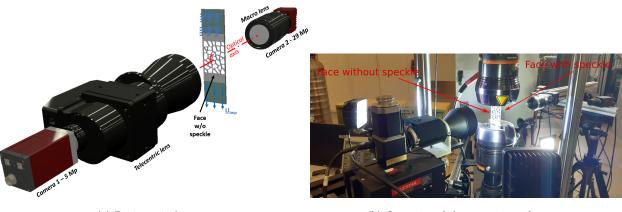


(b) Speckled side for reference FE-DIC.

Figure 21: Specimen and preparation for DIC - The 50 mm large sample is milled from a 4 mm 2024-T3 aluminum sheet, then painted white between the regions where it will be fixed in the jaws. One side is simply left as it is, while on the opposite side, a speckle is deposited by means of an airbrush.

Once machined, properly prepared and cleaned, the sample was sprayed with white matt paint in its entire central region, up to the areas that were to be clamped (see Fig. 21a). Then, thin matt black spots were sprayed on the side where FE-DIC measurements were planned (see Fig. 21b). The idea being to capture displacement gradients within the struts thickness, the deposit of this speckle is done here with an airbrush. Fig. 23b shows the distribution of the speckles obtained on the cell sample. The average diameter of the spots is estimated to be around 0.1 mm.

An Instron 8561 100 kN electromechanical tensile machine equipped with a 10 kN cell was used for this test. This machine can be equipped with hydraulic jaws, which avoids accidental twisting of the sample during clamping. Particular care was taken to align the jaws beforehand. The test was carried out under displacement control at a constant displacement rate of 0.12 mm/min.



(a) Basic optical setup.

(b) Overview of the experimental setup.

Figure 22: Experimental setup.

The experiment was monitored by multiple cameras triggered using an external TTL 575 square signal. The frame rate was set at 0.2 fps. Fig. 22 shows the basic optical setup 576 chosen for the present analysis. It consists of 2 systems that were very carefully positioned 577 on either side of the sample and oriented (using laser devices) so that the optical axes were 578 perpendicular to the filmed faces. A telecentric lens (Opto Engineering TC ZR 072-C) was 579 used to film the speckle-free side of the sample. This type of lens allow to maintain the 580 magnification independently of the working distance and therefore allow to remove depth 581 effect. It allows here to obtain images of the whole region of interest (field of view: 70.4 mm) 582 \times 52.8 mm). This lens is equipped with a 5Mp CCD camera (Camera 1: Allied Vision Pike). 583 On the opposite side, a 29Mp CCD camera (Camera 2: Allied Vision Prosilica GT6600) 584 equipped with a macro lens (ZEISS PLANAR T 2.0/100 ZF MACRO) were rather selected 585 to retrieve high resolution images of the speckled surface. In this case, the intention was 586 to correctly resolve the small pattern created on the surface. The working distance of the 587 macro lens was set to encompass almost the same region of interest (see Fig. 25). The 588 resulting image has a resolution of about 78 pixels/mm. The zoom presented in Fig. 23b 589 allows to better apprehend the type of texture which are later treated by the FE-DIC. Note 590 that the spots are on average more than 7 pixels, which is a little larger than the value 591 recommended for DIC [54]. The lighting during such an experiment is a problem in itself. 592

It was indeed tricky to light correctly one side without dazzling the cameras placed on the 593 opposite side. Fig. 22 illustrates how this problem was solved: 2 LED spotlights were used 594 on each side. This same figure reveals an additional stereo DIC bench in the background. 595 The latter allowed us to verify that there was no significant out-of-plane movement during 596 sample clamping or during the test (the maximum out-of-plane displacement measured is at 597 most a few tenths of a millimeter in the gauge region). This feature will consequently no 598 longer be used, or commented on, in what follows. 599

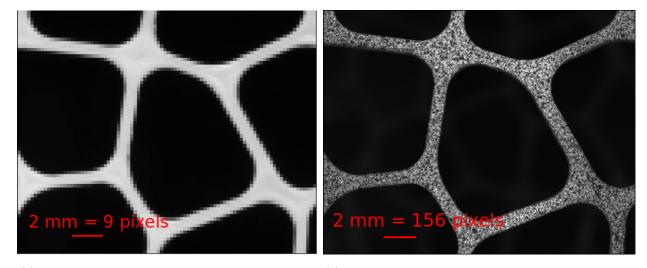


Image resolution: 4.5 pixels per mm. Definition of the sub-image presented: 88×73 .

(a) Image of the unspeckled face provided to the ADDICT. (b) Image of the speckled face provided to the FE-DIC. Image resolution: 78 pixels per mm. Definition of the sub-image presented: 1218×1558 .

Figure 23: Zoom on a specific region of the sample.

The macroscopic load (\bar{F}) - displacement (\bar{U}) curve recorded during the experiment is 600 plotted in Fig. 24. The dots indicate when the images were captured. For the DIC analysis 601 which follow, we set the reference image f_i (i = 1 unspeckled face, i = 2 speckled face) as 602 the first images captured after the mechanical jaws were clamped (point $(\bar{U}, \bar{F}) = (0, 0)$ of 603 the curve in Fig. 24). Up to about 3 kN, the sample exhibit an elastic macroscopic response. 604 Beyond that, the sample undergoes an irreversible strain, highlighted by the discharges. 605 From now on, we will limit ourselves to present the DIC measurements only for a deformed 606 state indicated by the red dot on Fig. 24 (point $(\overline{U}, \overline{F}) = (1.05 \text{ mm}, 4.73 kN)$). The total 607

macroscopic strain is then estimated at 1.5%, while the corresponding residual macroscopic strain is about 0.8%. The corresponding images are then noted g_i .

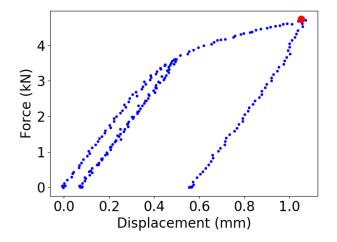
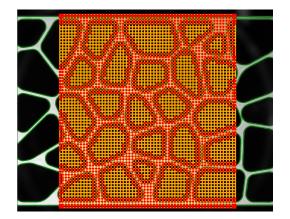


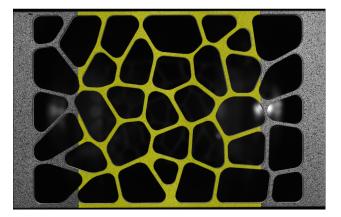
Figure 24: Experimental force (\overline{F}) -displacement (\overline{U}) curve. Discharges were performed to highlight the non-linear nature of the deformation. Each point corresponds to the acquisition of images. The red one indicates the state that is analyzed in the sequel.

We now propose to measure the displacement fields by image correlation between the 610 reference state (f) and the deformed state (g) images. The recorded images on the speckle-611 free side $(f_1 \text{ and } g_1)$ are processed by ADDICT. As we want to test our method in conditions 612 similar to those described above (i.e. with only a few pixels in the strut thickness), the 613 images are downsampled before being processed. Here, we proceed to three successive data 614 binning leading to images of 256 pixel $\times 306$ pixels definition (see Fig. 23a). The resolution 615 of the resulting images is then about 4.5 pixels/mm. We then automatically define the 616 implicit geometry of the ROI by building an image-based model as detailed in Section 2.2 617 (see Fig. 25a). The binary threshold value for the level-set segmentation is here simply set to 618 $(\max(f_1) + \min(f_1))/2$. Since plastic strains are expected, the regularization parameter λ_K is 619 set approximately to the optimal value identified in Fig. 17 of section 3.5. When taking into 620 account the resolution of the experimental images, the corresponding cut-off wave-length is 621 set $l_K = 50$ pixels. This is confirmed by a new study based on the L-curve. Fig. 26 shows 622 that the optimal regularization length lies indeed in the interval [25,75] pixels. For their 623

part, the high-resolution images $(f_2 \text{ and } g_2)$ of the speckled side of the specimen are analyzed 624 using the open-source FE-DIC library Pyxel [71]. The unstructured T3 measurement mesh 625 is generated from the very same CAD data used for machining. The average element size 626 is set to 0.2 mm to ensure theoretically that any element encompasses at least one spot. In 627 this 2D configuration, the transformation between the mesh reference frame and the image 628 reference frame (designated projector in this library) is described here with 4 parameters: one 629 rotation around the optical axis, two in plane translations and one scaling. Those parameters 630 are automatically identified by imposing that the projection of nodes on the edges must be 631 aligned with the corresponding edges detected in the images (see Fig. 25b). In practice, we 632 can check that only a few elements do not benefit from grey-scale gradients (see Fig. 29). 633



(a) Grid and level-set used to perform ADDICT on the speckle-free face.



(b) FE-DIC mesh used to measure the displacement field on the speckled face.

Figure 25: ADDICT (speckle-free face) and FE-DIC (speckled face) discretizations.

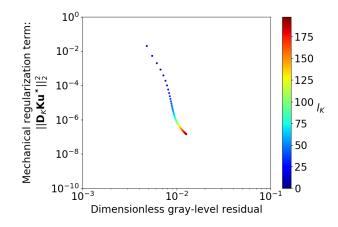


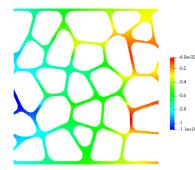
Figure 26: Influence of the regularization lengths for the experimental test-case. Variation of l_K .

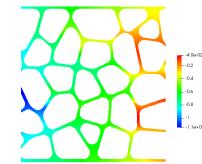
The longitudinal displacement u_x and transverse displacement u_y fields measured by ADDICT (exponent 1) and FE-DIC (exponent 2) are respectively compared in Figs. 27 and 28. The maps provided by the two techniques are practically indistinguishable to the naked eye.

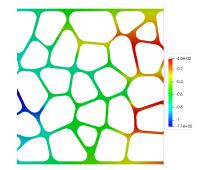
A quantitative analysis based on the hypothesis of 2D kinematics is now proposed. In 638 the present situation, as in section 3, we can indeed directly project the displacement fields 639 provided by ADDICT on the integration points of the FE-DIC technique (see Fig. 29). 640 Fig. 30 presents the relative difference between the ADDICT and the FE-DIC measurements 641 $\frac{|u^1-u^2|}{\bar{t}\bar{t}}$, where \bar{U} stands for the imposed grips displacement. In no case do the observed 642 differences exceed 3% of \overline{U} . The local fluctuations for both components are explained by the 643 uncertainty of the FE-DIC measurement. To complete these comparisons, we propose to look 644 at the strains inside the struts (see Fig. 31). Not surprisingly, the regularized measurement 645 leads to less noisy strains and less sharp gradients. Nevertheless, ADDICT allows us to 646 correctly locate the most severely strained regions. In general, we note that the largest 647 deviations are observed on the left and right edges of the ROI. This was expected and is due 648 to the non-physical regularization required on these edges to force ADDICT to converge. 649 The information provided in the immediate vicinity of these regions should therefore be 650 taken with caution. 651

In addition to the relevance of the results provided, it should be noted that the use of

ADDICT does not require any wizardly parameterisation. Indeed, it should be remembered that the behaviour chosen for the regularization is elastic, and no optimization of the gray level threshold to adjust the position of the level-set has been performed (i.e. the description of the geometry has not be optimized - see Fig. 29).





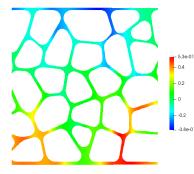


(a) ADDICT measurements with low resolution speckle-free images.

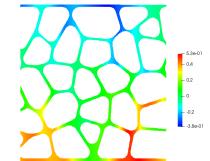
(b) FE-DIC measurement with high resolution speckled images.

(c) FE displacement field computed with Abaqus.

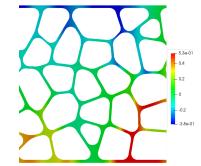
Figure 27: Comparison of the longitudinal displacement fields $u_x(\text{mm})$ measured with ADDICT (u^1) , FE-DIC (u^2) and computed with Abaqus (section 3.1) for an imposed displacement $\overline{U} = 1.05$ (Fig. 7).



(a) ADDICT measurements with low resolution speckle-free images.



(b) FE-DIC measurement with high resolution speckled images.



(c) FE displacement field computed with Abaqus.

Figure 28: Comparison of the transverse displacement fields $u_y(\text{mm})$ measured by ADDICT (u^1), FE-DIC (u^2) and computed with Abaqus (section 3.1) for an imposed displacement $\bar{U} = 1.05$ (Fig. 7).

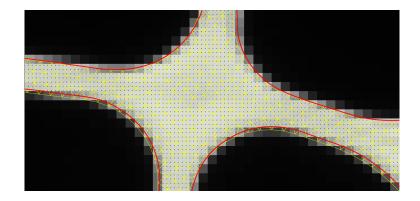


Figure 29: Point cloud belonging to the intersection of the level-set geometry and the FE geometry.

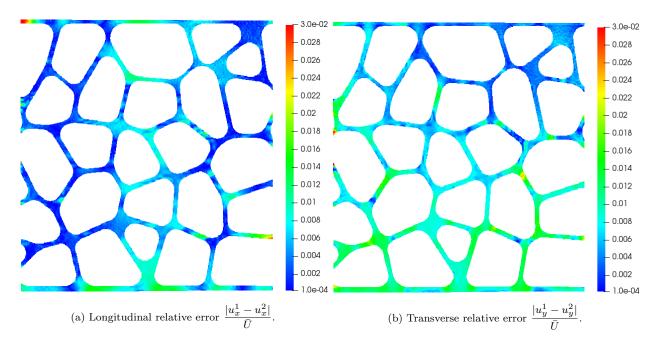


Figure 30: Relative displacement error map between ADDICT (u^1) and FE-DIC measurements (u^2) . The difference is scaled by the displacement \overline{U} imposed to the grips.

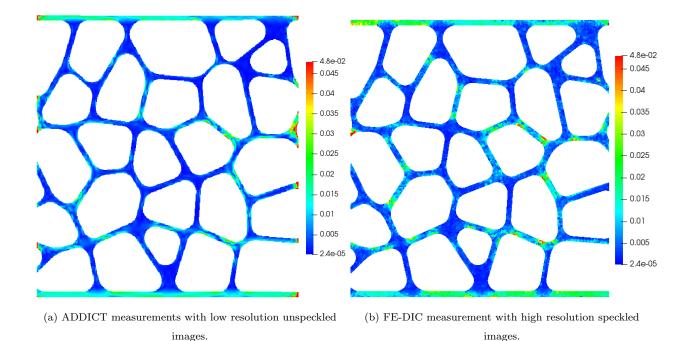


Figure 31: Measured Von Mises strain ε_{vm} .

Since the ADDICT measured a relevant displacement field, it becomes possible to validate 657 a simulation by using only the low resolution speckle-free images. Consider, for example, the 658 FE model introduced in section 3.1. The constitutive parameters adopted to describe the 659 elasto-plastic behaviour of the struts are those presented in Table 1. Simple boundary condi-660 tions such as those presented in Fig. 7 are adopted. The imposed displacement u_0 is fixed at 661 the value of the measured grips displacement $u_0 = -\overline{U}$. The longitudinal and transverse dis-662 placement fields computed with Abaqus are respectively compared to the measurements in 663 Fig. 27 and Fig. 28. The observed differences between the simulated and measured fields are 664 much greater than the difference between the measurement fields. The simulated resultant 665 \overline{F} is also very different from the load measured at this stage (Fig. 24). This means that there 666 is clearly room for an improvement of the simulation (ie. discretization, model, constitutive 667 parameters). Considering that the mesh is sufficiently fine, and that the selected model is 668 relevant, we could consider identifying the constitutive parameters. A classical FEMU ap-669 proach, such as that proposed by [15], but again based on measurements carried out with 670 speckle-free images, could be adopted. Other identification strategies, entirely in line with 671

the approach initiated here with ADDICT, could also be adopted [25, 26]. Although fascinating, this topic is beyond the scope of this presentation and would require a separate study.

675 5. Discussion

As stated in the introduction and reported in many papers of the literature, in the absence of texture at a scale smaller than the cell struts, the grey-scale conservation functional alone is unable to estimate local strains even roughly. Nor can it alone identify a strut that localises more strain than others. On the other hand, this functional makes it possible to estimate the macroscopic component of the displacements provided that any sufficient strong (subset or element size) or weak (Tikhonov like) regularization is used.

In this study, we showed that it is possible to complement this macroscopic estimate obtained by the grey-level functional with an estimate at the microscopic scale by relying weakly on an *a priori* assumption of the underlying physics. Although not limiting, the assumption used here was linear elasticity, even if the observed behaviour was non-linear.

In data assimilation, it is classic to complete a partial measurement with a model. For example, in [43], a stereo measurement is made on the upper (visible) side of a specimen, and the displacements of the lower (non-visible) side are estimated using a model. In a sense, this approach is similar to the one proposed here. More interestingly, the regularization weighting parameter l_K acts as a flexible way to separate the scales: the parts of the displacement of wavelength greater than l_K are handled by the grey-scale metric (if sufficient image gradients) while the ones smaller than l_K by the model.

We provided the numerical evidence that (a) the L-curve technique allows to choose this parameter objectively, (b) the optimal length coincides with the minimum of the true error and (c) the optimal length predicted with this technique is fully consistent with the lengths involved in the architecture of the material studied. It is thus not totally indispensable to go through the L-curve study to find a suitable parameter, since observations of the architecture of the material (with possible computation of the auto-correlation) may be sufficient as a first approach.

By studying numerous synthetic and real test cases, both linear and non-linear, and with 700 the aim of producing, each time, a reliable reference to compare with, we have been able 701 to show that this method provides reliable local information on the distribution of strains. 702 Indeed, even if the reconstructed geometry does not perfectly match the actual specimen 703 geometry, even if the behaviour is not exactly the good one (elastic vs. nonlinear), we have 704 shown that the method allows to estimate complex local kinematic fields (displacements and 705 strains) in a robust way in very poorly defined images and in the absence of texture. More 706 than that, the method allows to identify the distribution of strains in the various struts and 707 the zones within each strut where the strain localises, despite the poorly adapted input data. 708 An immediate prospect, since ADDICT was built for this purpose, is the extension of 709 this work to DVC to handle real in-situ experiments performed in a μ CT scanner [12, 16, 710 17, 18, 19, 20, 15]. This work is in progress. Such a tool should be undoubtedly valuable for 711 studying the behaviour of a large number of cellular materials (metallic/polymeric foams, 712 bones, wood, additively manufactured lattice structures...). However, the computational 713 cost issue may become a concern in 3D. Domain decomposition techniques or model reduc-714 tion techniques particularly adapted to the tensor structure of B-splines could then be used 715 advantageously [59, 18, 33]. The DIB model could also be enhanced by other instrumenta-716 tion modalities (photogrammetry [72], stereo DIC...) A slightly further perspective is the 717 extension of ADDICT to multi-phase materials. Among other perspectives, a very inter-718 esting avenue concerns the regularization operator. It is indeed possible, with exactly the 719 same formalism, to consider more advanced models (in particular non-linear ones) [26]. In 720 particular, it would be interesting to update the constitutive parameters of the regularization 721 model, which is possible within the very same framework [25, 26]. 722

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